



REILLY FINANCIAL ADVISORS PRIVACY NOTICE

Reilly Financial Advisors (referred to as “RFA”) maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients’ nonpublic personal information (“information”). Through this policy and its underlying procedures, RFA attempts to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

It is the policy of RFA to restrict access to all current and former clients’ information (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information in order to provide products or services in furtherance of the client's engagement of RFA. In that regard, RFA may disclose the client’s information: (1) to individuals and/or entities not affiliated with RFA, including, but not limited to the client’s other professional advisors and/or certain service providers that may be recommended or engaged by RFA in furtherance of the client's engagement of RFA (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian, record keeper, proxy management service provider, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for processing and/or transmittal by RFA to facilitate the commencement/continuation/termination of a business relationship between the client and/or between RFA and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, record keeper, insurance company, etc.), including, but not limited to, information contained in any document completed and/or executed by the client in furtherance of the client's engagement of RFA (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

RFA permits only authorized employees and affiliates who have signed a copy of RFA’s Privacy Policy to have access to client information. Employees violating RFA’s Privacy Policy will be subject to RFA’s disciplinary process. Additionally, whenever RFA hires other organizations to provide services to RFA’s clients, RFA will require them to sign confidentiality agreements and/or the Privacy Policy.

General Data Protection Regulation (GDPR)

Personal data that is collected i.e. name, date of birth, social security number, etc. are for the purpose of managing our client's accounts which include, but not limited to, affecting trades in the account, establishing new accounts, financial planning, tax planning, and/or moving money at the client's request. It is still the policy of RFA to restrict this information (see above) to those employees or affiliates who need to know the information to process certain requests in regards to managing/investing/planning, etc. for our clients.

Should you have any questions regarding the above, please contact Frank Reilly, Chief Compliance Officer.